

SUMMARY OF AMENDMENTS
REVISED GUIDELINES ON UNIT TRUST FUNDS
(Date of Issuance: 1 October 2024)

The following table provides a summary of key amendments to the revised *Guidelines on Unit Trust Funds* (Guidelines) issued on 1 October 2024:

A. Overview			
<p>The Guidelines were revised-</p> <ol style="list-style-type: none">1. As a consequence of the repeal of the <i>Guidelines on Online Transactions and Activities in Relation to Unit Trusts</i> (Online Transactions Guidelines) and <i>Guidelines on Marketing and Distribution of Unit Trust Funds</i> (Marketing Guidelines). The Online Transactions Guidelines and Marketing Guidelines were repealed consequent to the issuance of the revised <i>Guidelines on Conduct for Capital Market Intermediaries</i> on 1 October 2024 (Conduct Guidelines);2. To broaden the requirement for disclosures relating to a unit split exercise;3. To insert new guidance to enable unit holders to have sufficient information on a unit split exercise and impact on unit holder's investments; and4. To insert new guidance to clarify the appropriateness of a fund's name.			
B. Amendment to specific paragraphs			
No.	Prior to 1 October 2024	Revised Version Dated 1 October 2024	Comments
Part 3: The Management Company			
1.	-	Paragraph 3.26	New paragraph inserted to incorporate relevant requirement from paragraph 7.06 of the repealed Online Transactions Guidelines.

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B. Amendment to specific paragraphs			
No.	Prior to 1 October 2024	Revised Version Dated 1 October 2024	Comments
2.	-	Paragraph 3.27	New paragraph inserted to incorporate relevant requirement from paragraph 7.06A of the repealed Online Transactions Guidelines.
3.		Paragraph 3.28	New paragraph inserted to incorporate relevant requirement from Chapter 6 of the repealed Marketing Guidelines.
4.		Paragraph 3.29	New paragraph inserted to incorporate existing requirement under the <i>UTF Statistical and Investment Returns Reporting Manual</i> .
Chapter 9: Operational Matters			
5.	Paragraph 9.18	Paragraph 9.18	Amended to broaden the requirement for disclosures relating to a unit split exercise.
Chapter 11: Applications, Notifications and Reporting to the Securities Commission Malaysia			
6.	Paragraph 11.19	Paragraph 11.19	Amended pursuant to insertion of new paragraph 3.28 above.
Guidance to Chapter 5: Constitution of the Fund			
7.	-	Guidance to Paragraph 5.05	New guidance inserted to clarify the appropriateness of a fund's name.

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B. Amendment to specific paragraphs			
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Guidance to Chapter 9: Operational Matters			
8.	Guidance to Paragraphs 9.12 and 9.14	Guidance to Paragraphs 9.12 and 9.14	Amended to reflect insertion of new paragraph 3.26 above.
9.	-	Guidance to Paragraph 9.18	New guidance inserted to clarify that statement to unit holders should provide sufficient information on the unit split exercise and its impact on unit holders' investments.